# THERAPEUTIC USE EXEMPTION PROCESS

# 1. WHAT IS A THERAPEUTIC USE EXEMPTION (TUE)?

Athletes may have illnesses or conditions that require them to take medications or undergo procedures. If the medication or method an athlete is required to use to treat an illness or condition is prohibited as per the World Anti-Doping Agency's (WADA) Prohibited List a TUE may give that athlete the authorization to use that substance or method while competing without invoking an anti-doping rule violation (ADRV) and applicable sanction. Applications for TUEs are evaluated by a panel of physicians, the TUE Committee (TUEC).

## 2. DO YOU NEED A TUE?

First, check if the required medication or method you intend to take or use is prohibited as per the WADA Prohibited List

For this, you may also use a 'check your medication' online like <u>Global Drug Reference Online</u> or consult your National Anti-Doping Organisation (NADO).

You have a responsibility to inform your physician(s) that you are an Athlete bound to anti-doping rules. You and your physician(s) should check the Prohibited List for whatever substance/method you are prescribed. If the substance/method is prohibited, discuss non-prohibited alternatives, if there are none, apply for a TUE. Remember athletes have the ultimate responsibility.

Contact ITA's ITUEC if you have questions about the substance status (tue@ita.sport).

You must apply for a TUE, as soon as the need to use the substance or method arises, unless there are emergency or exceptional circumstances.

For substances prohibited in-competition only, you should apply for a TUE at least 30 days before your next competition, unless one of the exceptions on retroactive TUEs (see below) apply.

Please refer to the section "How to apply for a TUE?" section below.

If you already have a TUE granted by your National Anti-Doping Organisation (NADO) or International Federation (IF), ITA's TUEC will automatically recognise your TUE for purposes of the Event without the need to review the relevant clinical information.

## 3. WHO SHOULD APPLY FOR A TUE? WHERE AND WHEN TO APPLY?

The Olympic Council of Asia (OCA) has delegated the management of TUEs to the ITA. All participants are bound to the anti-doping rules and in particular its provisions related to TUEs. Very importantly, TUEs granted in the context of the Games, are only valid for the period of Games! TUEs for chronic conditions (like ADHD or diabetes) should be processed by your NADO (National Anti-Doping Organisations (NADOs) | World Anti-Doping Agency (wada-ama.org) in advance of the Games and will be recognized automatically for the duration of the Games. Pre-existing TUEs will follow the recognition process and there is no need to re-submit your TUE.

See chapter 4 below for more details.

### 4. HOW TO APPLY TO THE ITA FOR A TUE?

- 1 Fill in the <u>TUE Application Form</u>, sign it and have it signed by your physician.
- 2 Gather all the necessary supporting medical documentation (Resources List | World Anti-Doping Agency (wada-ama.org))
- 3A Once the form is duly completed and signed, send it together with the required medical using this online form.

OR

3B - Submit the form and the supporting documentation directly in ADAMS, if you are familiar with the process.

For any issues during the submission you can <u>Book an appointment</u> or contact us at tue@ita.sport for support. Your TUE application must be submitted in a legible form using capital letters or typing.

The medical file must include:

- A comprehensive medical history, including documentation from the original diagnosing physician(s) (where possible),
- The results of all examinations, laboratory investigations and imaging studies relevant to the application.

Any costs incurred by the Athlete in making the TUE application and in supplementing it as required by the ITA's ITUEC are the responsibility of the Athlete.

Any TUE application that is not complete or legible will not be dealt with and will be returned for completion and re-submission.

To assist you and your doctor in providing the correct medical documentation, we suggest consulting the WADA's <u>Checklists for TUE applications</u> for guidance and support during the TUE application process, and <u>Medical Information to Support the Decisions of TUECs</u> for guidance on specific common medical conditions, treatments, substances, etc.

Keep a complete copy of the TUE application form and all medical information submitted in support of your application, and proof that it has been sent.

## 5. WHAT ARE THE CRITERIA FOR GRANTING A TUE?

All of the four following criteria must be met (for more details, please refer to the article 4.2: <u>WADA</u> <u>International Standard for Therapeutic Use Exemptions (ISTUE)</u>:

- The athlete has a clear diagnosed medical condition which requires treatment using a prohibited substance or method;
- The therapeutic use of the substance will not, on the balance of probabilities produce significant enhancement of performance beyond the athlete's normal state of health;
- The prohibited substance or method is an indicated treatment for the medical condition, and there is no reasonable permitted therapeutic alternative;
- The necessity to use that substance or method is not a consequence of the prior use (without a TUE), of a substance or method which was prohibited at the time of use.

# 6. CAN I GET A RETROACTIVE TUE?

You may only apply retroactively for a TUE to the ITA's TUEC if:

- You required emergency or urgent treatment of a medical condition.
- There was insufficient time, opportunity or other exceptional circumstances that prevented you from submitting the TUE application, or having it evaluated, before getting tested.
- You are a lower level athlete who is not under the jurisdiction of OCA or a NADO and were tested.
- You tested positive after using a substance Out-of-Competition that is only prohibited In-Competition (for example glucocorticoids).
- As per our anti-doping rules, you are neither international or national level and therefore were not permitted or required to apply in advance for a TUE

In rare and exceptional circumstances and notwithstanding any other provision in the ISTUE, you may apply for and be granted retroactive approval for a therapeutic use of a prohibited substance or method, if considering the purpose of the Code, it would be manifestly unfair not to grant a retroactive TUE. An Anti-Doping Organization may grant an Athlete's application for a retroactive TUE pursuant to this Article only with the prior approval of WADA (and WADA may in its absolute discretion agree with or reject the Anti-Doping Organization's decision)."

This unique retroactive TUE will only be granted with the prior approval of WADA (and WADA may in its absolute discretion agree with or reject the ITA's TUEC decision).

### Important note:

Using a prohibited substance or method without a TUE could result in an Anti-Doping Rule Violation.

In case an application for a retroactive TUE is necessary following sample collection, you are strongly advised to have a medical file prepared and ready to submit for evaluation.

### 7. HOW TO SUBMIT A REQUEST FOR RECOGNITION OF MY IF OR NADO TUE TO THE ITA?

ITA's TUEC will automatically recognise your TUE for purposes of the Event without the need to review the relevant clinical information. If the TUE is correctly entered in ADAMS, there is no need to contact us. Nevertheless, should you require a confirmation, you can submit your request to the ITA in writing quoting your ADAMS TUE reference number.

You can download your TUE certificate directly from ADAMS.

# 8. WHEN WILL I RECEIVE A DECISION ON MY TUE APPLICATION [OR REQUEST FOR RECOGNITION]?

The ITA's ITUEC must render a decision as soon as possible, and usually within 21 days from the date of receipt of the complete TUE application, unless in exceptional circumstances. Nevertheless, during the Games, treatment times are on average much shorter and all efforts will be made to provide a decision before a competition takes place. Applications arriving in an untimely manner or incomplete will receive a decision retroactively. It is the athlete's and physician's decision and responsibility to participate in an event without a prospective TUE (examples of untimely timing: a) any chronic injury or disease. b) ongoing pain for several days, but sending an application for prohibited treatment only on the eve of a competition)

### 9. WHAT IF I NEED TO RENEW MY TUE?

## It advised that you contact the organization that granted the original TUE.

Each TUE has a specific duration, at the end of which it expires automatically. Should you need to continue to use the prohibited substance or method, it is your responsibility to submit a new application for a TUE with updated medical information ahead of the expiry date, so that there is sufficient time for a decision to be made prior to the expiry of the current TUE.

#### Important note:

The presence (following sample collection), use, possession or administration of the prohibited substance or method must be consistent with the terms of your TUE. Therefore, if you require a materially different dosage, frequency, route or duration of administration, you should contact the **ITA**, as you may be required to apply for a new TUE. Some substances and dosages, e.g. insulin, are often modified during treatment and these possible fluctuations should be mentioned by the treating physician in the TUE application and would usually be accepted by the ITA's TUEC.

TUEs granted during the Games, are only valid during the period of the Games. Should you have a chronic condition, or a treatment that is needed outside this period, you should contact your NADO or IF in order to prolong or renew your TUE.

# 10. WHAT IF MY TUE APPLICATION IS DENIED?

A decision to deny a TUE application will include a written explanation of the reason(s) for the denial. If it is not clear to you, please contact the **ITA** to understand exactly why the TUE was denied. Sometimes, there may be a critical piece of information, diagnostic test, laboratory results missing, etc. In which case, you should reapply to us.

A decision by the OCA not to recognize or not to grant a TUE may be appealed by the Athlete exclusively to the independent TUE Appeal Committee established by OCA for that purpose. If the Athlete does not appeal (or the appeal is unsuccessful), the Athlete may not Use the Prohibited Substance or Prohibited Method in question in connection with the Event.

However, any TUE granted by the Athlete's National Anti-Doping Organization or International Federation for that substance or method remains valid outside of that Event.

It should be noted that WADA may review TUE decisions at any time but is not obliged to proceed with a request for a review.

The email address to enquire and/or send the request for review is: medical@wada-ama.org

### 11. WILL MY MEDICAL INFORMATION BE TREATED IN A CONFIDENTIAL MANNER?

All the information contained in a TUE application, including the supporting medical information and any other information related to the evaluation of your TUE request is kept strictly confidential and treated in accordance with the Athlete's Declaration contained in the ADAMS TUE and in the TUE Application Form which can be found <a href="here">here</a>. All members of the TUEC and any other authorized recipients of your TUE request and related information (as described in the Athlete's Declaration) are subject to a professional or contractual confidentiality obligation.

Please review the terms of the Athlete's Declaration carefully. In particular, note that should you wish to revoke the right of the ITA's TUEC to obtain the information related to your TUE in accordance with the Athlete's Declaration, your TUE application will be deemed withdrawn without approval [or recognition] being granted.

Your TUE request-related information will be retained by the OCA, **ITA's TUEC** and any other authorized recipients for no longer than necessary for the purposes stated in the Athlete's Declaration, in accordance with the International Standard for the Protection of Privacy and Personal Information.

# 12. CONTACT INFORMATION

For any further information and questions in relation to personal information practices, please contact the ITA at privacy@ita.sport or OCA.

If you have a doubt as regards to which organization you should apply for a TUE, or as to the recognition process, or any other question about TUEs, please contact: <a href="mailto:tue@ita.sport">tue@ita.sport</a>.

# 13. OTHER USEFUL LINKS:

You can download the TUE form HERE.

WADA International Standard for Therapeutic Use Exemptions (ISTUE)

WADA Q&A on the Prohibited List

WADA Checklists for TUE Applications

WADA Guidelines for the International Standard for Therapeutic Use Exemptions (ISTUE)

WADA Anti-Doping Education and Learning (ADEL)

List of National anti-Doping Organizations (NADOs)

<u>List of International Federations</u>